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**APPLICATION
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TITLE: FLIP CHIP C4 EXTENSION STRUCTURE AND PROCESS

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FLIP CHIP C4 EXTENSION STRUCTURE AND PROCESS

Background of the Invention

The present patent application is a continuation-in-part of copending United States Patent application SN: 09/309,405, filed 5/10/99 and entitled "Flip Chip C4 Extension Structure and Process."

1. Technical Field

The present invention relates to an electrical structure, and associated method of fabrication, that reduces thermally induced strain in solder joints that couple a first substrate to a second substrate. The first substrate may include a chip or a module. The second substrate may include a chip carrier or a circuit card. Thus, the present invention encompasses such coupling as chip to chip carrier, chip to circuit card, and module to circuit card.

2. Related Art

A well-known method for coupling a chip to a chip carrier is that of controlled collapse chip connection ("C4 ") in which a C4 solder ball couples a chip to a chip carrier. The C4 solder ball is coupled to a pad on the chip and the C4 solder ball is connected to the chip carrier by use of a solder joint at a solderable site on the chip

carrier. The C4 solder ball has any composition that is well known in the art, and typically comprises an alloy of lead and tin in such high lead/tin ratios as 90/10 or 97/3 by weight. Another C4 solder ball composition is a lead /indium alloy in a 50/50 ratio by weight.

5 When the above structure is heated or cooled, the solder joint is subject to strains that arise from the differential rate of thermal expansion of the chip and the chip carrier. For example, a chip typically comprises silicon and has a coefficient of thermal expansion ("CTE") of about 3 to 6 ppm/⁰C (ppm denotes parts per million). The chip carrier is typically a laminate comprising alumina or a laminate comprising an organic material. A alumina chip carrier has a CTE of about 6 ppm/⁰C, while an organic chip carrier has a CTE in the range of about 6 to 24 ppm/⁰C. The thermal stresses and consequent strains resulting from the CTE mismatch during thermal cycling may cause fatigue failure in the solder joint and consequent reduction in reliability as measured by the number of cycles that can be achieved prior to fatigue failure.

10 A method in the prior art for mitigating the effect of the CTE mismatch on fatigue life is filling the space between the chip and the chip carrier with a material that encapsulates the interconnecting structure, including the C4 solder ball, that joins the chip to the chip carrier, as described in U.S. Pat. No. 5,656,862 (Papathomas et al., 8/12/97, hereby incorporated by reference). The encapsulating material typically has a CTE of
15 about 24 to 40 ppm/⁰C and causes the whole structure to move as one composite structure
20

during thermal cycling. The high stiffness of the encapsulating material enables the encapsulating material to accommodate the thermal stresses that would otherwise act at the solder joint. A material that may be used for this purpose is Hysol 45121 which has a stiffness of about 10^6 psi. A problem with using encapsulating material is that conditions, such as contamination or fracture of the encapsulating material, may prevent the encapsulating material from adequately adhering to the interconnecting structure. The resulting separation of the encapsulating material exposes the interconnecting structure, thereby negating the encapsulating material's role of reducing thermal stresses. Another difficulty is that the high encapsulant stiffness needed for effectively relieving thermal stresses unfortunately generates mechanical stresses on the interconnecting structure that may be high enough to weaken the structural integrity of the interconnecting structure. As the encapsulant stiffness diminishes, the mechanical stresses on the interconnecting structure decrease and the ability of the encapsulant to absorb shock and vibration increases. An additional consideration is that the encapsulating material interferes with reworkability of the chip-to-chip carrier structure for correcting a problem arising during the life cycle and testing phases of the structure.

Another method in the prior art for mitigating the effect of the CTE mismatch on fatigue life is a process disclosed in U.S. Pat. 5,641,113 (Somaki et al., 6/24/97, hereby incorporated by reference). Somaki discloses coupling a chip to a substrate by fusing together a first solder bump truncated sphere and a second solder bump truncated sphere.

5 The fusing occurs after a first process and before a second process. The first process includes forming and connecting the first solder bump to the chip, coating the first solder bump with a non-conductive resin that is liquid at room temperature prior to being hardened, hardening the resin layer, and removing a portion of the resin layer so as to expose a surface of the first solder bump that will be fused with the second solder bump. After the first process, the fusing is accomplished by reflowing the first solder bump and second solder bump at a temperature that causes both the first solder bump and the second solder bump to melt and fuse together. Then the second process joins the second solder bump to the substrate. Unfortunately, this method is not practical for reworking the chip-to-substrate structure for correcting problems arising during the life cycle and testing phases of the structure. The reworkability is problematic, because the application of heat to decouple the fused first and second solder bumps will melt both the first and second solder bumps. As the chip and the substrate are pulled apart, molten solder will flow out of the resin layer leaving a partially or fully empty resin shell attached to the chip. This resultant chip configuration cannot be reworked at a practical cost and the chip has consequently become unusable.

There is a need for a method of reducing the thermal stresses that facilitates reworkability, eliminates the need for encapsulating material or enables an encapsulant of diminished stiffness to be used.

Summary of the Invention

The present invention provides an electrical structure, comprising:

a first substrate;

a first conductive body mechanically and electrically coupled to the first substrate;

5 a nonsolderable and nonconductive material, wherein the nonsolderable and nonconductive material volumetrically surrounds and contacts a first portion of a surface of the first conductive body such that a second portion of the surface of the first conductive body is not contacted by the nonsolderable and nonconductive material;

10 a second conductive body mechanically and electrically coupled to the first conductive body by surface adhesion at the second portion of the surface of the first conductive body, wherein a melting point of the second conductive body is less than a melting point of the first conductive body; and

15 a second substrate mechanically and electrically coupled to the second conductive body.

The present invention provides an electrical structure, comprising:

a first substrate;

a first conductive body mechanically and electrically coupled to the first substrate;

20 a nonsolderable and nonconductive material, wherein the nonsolderable and nonconductive material volumetrically surrounds and contacts a first portion of a surface of the first conductive body such that a second portion of the surface of the first

conductive body is not contacted by the nonsolderable and nonconductive material;

a second conductive body;

means for mechanically and electrically coupling the second conductive body to the first conductive body by surface adhesion at the second portion of the surface of the first conductive body, wherein said coupling means includes means for applying a temperature to the first conductive body and the second conductive body, wherein the temperature is below a melting point of the first conductive body, and wherein the temperature is not below a melting point of the second conductive body; and

a substrate mechanically and electrically coupled to the second conductive body.

The present invention provides a method for forming an electrical structure, comprising the steps of:

providing a first structure, including a first substrate, a first conductive body mechanically and electrically coupled to the first substrate, and a nonsolderable and nonconductive material, wherein the nonsolderable and nonconductive material volumetrically surrounds and contacts a first portion of a surface of the first conductive body such that a second portion of the surface of the first conductive body is not contacted by the nonsolderable and nonconductive material;

providing a second structure, including a second substrate and a conductive bump mechanically and electrically coupled to the second substrate;

placing the second structure in contact with the first structure such that the

conductive bump is in contact with the second portion of the surface of the first
conductive body;

reflowing the conductive bump without melting any portion of the first conductive
body to form a second conductive body, wherein the second conductive body covers the
second portion of the surface of the first conductive body; and

cooling the first structure and the second structure to solidify the second
conductive body and to mechanically and electrically couple the second conductive body
to the first conductive body by surface adhesion at the second portion of the surface of the
first conductive body.

The present invention has the advantage of being easily reworkable to correct
problems occurring during the life cycle and testing phases of the electrical structure.
The reworkability results from the ability to easily eliminate the surface adhesion
between the first and second conductive bodies by applying heat at a temperature that
melts the second conductive body but does not melt the first conductive body. The
ability to rework the electrical structure without causing irreversible or expensive damage
also facilitates the practicality of attaching a chip directly to a circuit card, which would
eliminate the need for a chip carrier. Hence, the substrate of the present invention may
comprise either a chip carrier or a circuit card.

Brief Description of the Drawings

FIG. 1 depicts a front cross-sectional view of a first structure, in accordance with a first embodiment of the present invention.

FIG. 2 depicts FIG. 1 after a portion of the coat of material of the first structure has been removed by laser ablation.

FIG. 3 depicts FIG. 1 after a portion of the coat of material of the first structure has been removed by grinding.

FIG. 4 depicts FIG. 1 after a portion of the photosensitive coat of material of the first structure has been developed away.

FIG. 5 depicts a front cross-sectional view of a second structure, in accordance with the first embodiment of the present invention.

FIG. 6 depicts FIG. 5 with a coat of material on the conductive bumps of the second structure.

FIG. 7 depicts a front cross-sectional view of the first structure placed on the second structure, in accordance with the first embodiment of the present invention.

FIG. 8 depicts FIG. 7 after reflowing the conductive bumps of the second structure.

FIG. 9 depicts a front cross-sectional view of a first structure, in accordance with a second embodiment of the present invention.

FIG. 10 depicts FIG. 9 after a portion of the coat of material of the first structure

has been removed.

FIG. 11 depicts a front cross-sectional view of a second structure, in accordance with the second embodiment of the present invention.

FIG. 12 depicts FIG. 11 with a coat of material on the conductive bump of the second structure.

FIG. 13 depicts a front cross-sectional view of the first structure placed on the second structure, in accordance with the second embodiment of the present invention.

FIG. 14 depicts FIG. 13 with the addition of a coating of material.

FIG. 15 depicts FIG. 13 after reflowing the conductive bump of the second structure.

FIG. 16 depicts FIG. 15 with a tapered first conductive body replacing the first conductive body.

FIG. 17 depicts a top view of a solder body structure with a pin, in accordance with a third embodiment of the present invention.

FIG. 18 depicts FIG. 17 after the solder body structure has been heated and the pin has been retracted.

FIG. 19 depicts FIG. 18 after the pin has been detached and removed.

FIG. 20 depicts FIG. 17 with the pin replaced by a plate.

FIG. 21 depicts FIG. 20 after the solder body structure has been heated and the plate has been retracted.

FIG. 22 depicts FIG. 21 after the plate has been detached and removed.

FIG. 23 depicts a front cross-sectional view of a first structure having a volume of material, in accordance with a fourth embodiment of the present invention.

FIG. 24 depicts FIG. 23 after a portion of the volume of material of the first structure has been removed by laser ablation.

FIG. 25 depicts FIG. 23 after a portion of the volume of material of the first structure has been removed by grinding.

FIG. 26 depicts FIG. 23 after a portion of the photosensitive volume of material of the first structure has been developed away.

FIG. 27 depicts a front cross-sectional view of the first structure of FIG. 25, 26, or 27 placed on the second structure, in accordance with the fourth embodiment of the present invention.

FIG. 28 depicts FIG. 27 after reflowing the conductive bumps of the second structure.

Detailed Description of the Invention

FIGS. 1-8 illustrate the process steps associated with a first embodiment of the present invention. FIG. 1 depicts a front cross-sectional view of a first structure 10, which has two pads 16 on a top surface 13 of a first substrate 12. A conductive body 14 is on each pad 16. While two pads 16 and two associated first conductive bodies 14 are

shown, the first structure 10 may include any number of first conductive bodies 14 placed on the same number of pads 16. The first substrate 12 may comprise a chip or a module. Each first conductive body 14 may comprise a solder bump, such as a solder bump formed by a known process such as controlled collapse chip connection ("C4"). If the substrate 12 comprises a module, then each first conductive body 14 may comprise a ball of a ball grid array ("BGA"). Each first conductive body 14 comprises a suitable solder such as an alloy of lead and tin in such concentrations that the melting point of the alloy is clearly above the melting point of the eutectic alloy. For example, each first conductive body 14 may have a lead/tin ratio of 90/10 by weight which has a melting point of about 327-330 °C. In contrast, the eutectic lead/tin ratio of about 63/37 by weight has a melting point of about 183 °C. Each first conductive body 14 may have any suitable shape and size that is characteristic of the size and shape of a solder bump, or ball of a BGA module. For example, each first conductive body 14 may be a truncated sphere having a 5-mil diameter and a 4-mil height.

FIG. 1 shows the first conductive bodies 14, and the top surface 13, covered by a coat of material 18. The coat of material 18 comprises a nonsolderable and nonconductive material such as a polyimide or a photosensitive resin. An example of a suitable polyimide is Dupont PI5878 material. An example of a suitable photosensitive resin is Taiyo PSR 4000-SP50 material. A polyimide layer may be formed by any suitable method known in the art, such as by spin coating or spraying. The top surface 13

and first conductive bodies 14 may be cleaned and surface roughened prior to forming the coat of material 18, using standard techniques such as plasma treatment, to improve the surface adhesion of the coat of material 18 to the top surface 13 and first conductive bodies 14. For a material 18 such as a polyimide, FIG. 2 shows the result of applying radiation 32 from lasers 30 to remove a portion of the coat of material 18 to form uncoated surfaces 20. The laser ablation process may also remove a small amount of material (e.g., a quarter-mil height) from each first conductive body 14. As an alternative to applying laser ablation, FIG. 3 shows the result of grinding away a portion of the coat of material 18 and some material from each first conductive body 14 to form a flat uncoated surface 22 on each first conductive body 14. If the coat of material 18 is a photosensitive resin, FIG. 4 shows uncoated surfaces 24 formed as a result of applying light of a suitable wavelength 36 from light sources 34, in conjunction with a photomask, to the photosensitive coat of material 18. Following photoexposure, photosensitive material is developed away where it unwanted; i.e., at uncoated surfaces 24. The uncoated surfaces 26 in FIGS. 7 and 8 (to be discussed *infra*) represents the uncoated surface of the first conductive bodies 14, regardless of the method used (e.g., laser ablation, grinding, photolithography as depicted in FIGS. 2, 3, and 4, respectively) to form the uncoated surfaces 26. The uncoated surface 26 may be cleaned and surface roughened, using standard techniques such as plasma treatment, to improve the subsequent surface adhesion with conductive bump 44, as will be discussed *infra* for

FIGS. 7-8.

FIG. 5 depicts a front cross-sectional view of a second structure **40**, which has two pads **46** on a top surface **43** of a second substrate **42**. A conductive bump **44**, such as a C4 eutectic solder bump in the form of a pre-cleaned solder ball, is located on each pad **46**. While two pads **46** and two associated conductive bumps **44** are shown, the second structure **40** may include any number of conductive bumps **44** placed on the same number of pads **46**. If the first substrate **12** of FIG. 1 comprises a chip, then the second substrate **42** may comprise a chip carrier or a circuit card. If the first substrate **12** of FIG. 1 is a module, then the second substrate **42** may comprise a circuit card. Other structural combinations of the first structure and the second structure are also possible. The melting point of the conductive bumps **44** are below the melting point of the first conductive bodies **14** (see FIG. 1). For example, if the first conductive bodies **14** comprise a lead/tin alloy of 90/10 composition by weight (melting point 327-330 °C), then the conductive bumps **44** may comprise the eutectic 63/37 lead/tin alloy (melting point 183 °C), with a consequential melting point differential of more than 140 °C. It is also within the scope of the present invention for the conductive bumps **44** to comprise an alloy of a composition that has a melting point above the eutectic melting point. In this regard, the respective melting points of a conductive bump **44** and an associated first conductive body **14** must be related such that the conductive bump **44** and the associated first conductive body **14** may be heated to a common temperature at which the conductive

bump 44 melts and reflows while the associated first conductive body 14 remains solid.

The conductive bumps 44 may be any suitable shape and size, such as a truncated spherical shape with a diameter of 1 to 40 mils.

FIG. 6 shows conductive bumps 44, and the top surface 43, covered by a coating of material 48, such as cured photosensitive resin. In FIG. 6, an uncoated surface 49 of each conductive bump 44 may be formed by any of the methods by which the uncoated surfaces of the first conductive bodies 14 are formed, such as laser ablation, grinding, and photolithography, as discussed *supra* with FIGS. 2, 3, and 4, respectively. The top surface 43 may be cleaned and surface roughened prior to forming the coating of material 48, using standard techniques such as plasma treatment, to improve the surface adhesion of the coat of material 48 to the top surface 43. The coating of material 48 in FIG. 6 is optional and serves to constrain the conductive bump material 44 to move, upon being reflowed, in an approximately upward direction, as denoted by direction arrow 50, rather than in a sidewise direction. Thus, by preventing sidewise motion of the conductive bump material 44, the coating of material 48 inhibits a reduction in height of the conductive bumps 44 during reflow.

In FIG. 7, the first structure 10 is shown as placed upon the second structure 40, such that each first conductive body 14 is placed on the corresponding conductive bump 44. The uncoated surface 26 of each first conductive body 14 may be formed in any suitable manner known in the art, such as by first coating all exposed surfaces of each

first conductive body 14, and then removing a portion of the coating by such methods as laser ablation, grinding, or photolithography, as discussed *supra* for FIGS. 2, 3, or 4, respectively. Alternatively, each first conductive body 14 may be initially coated only partially, so as to form the associated uncoated surface 26 without necessitating subsequent removal of a portion of the initial coating. While each conductive bump 44 is shown as uncoated, the conductive bumps 44 may be optionally coated in accordance with the preceding discussion of FIG. 6.

FIG. 8 shows the electrical structure 60 associated with completion of the process of the first embodiment. The electrical structure 60 results from reflowing the conductive bumps 44 of FIG. 7 at a temperature at which the conductive bumps 44 melt and the first conductive bodies 14 do not melt. In practice, the reflow temperature should be high enough to reflect impurities that may be present in the conductive bumps 44 as well as the oven temperature variability. For example, impurities in the conductive bumps 44 may typically raise the melting point of the conductive bumps 44 by 20 °C or more and the oven temperature variability may be 10 to 15 °C. Thus, if the conductive bumps 44 comprise a eutectic mixture of lead and tin, then the impurity-free melting point of about 183 °C implies a reflow temperature of at least about 215-220 °C to account for the aforementioned effects of impurities and oven temperature variability. A reflow temperature of about 220 °C is compatible with having the first conductive bodies 14 comprise a 90/10 lead/tin mixture which melts at 327 to 330 °C, thereby assuring that the

first conductive bodies **14** do not melt during reflow. Note that the reflow temperature must be sufficiently low that the coat of material **18** stays rigid during the reflow process. A polyimide, for example, typically stays rigid up to about 375 °C which is well above the reflow temperature of about 220 °C in the preceding example. It is also advantageous to reflow at a temperature as low as 220 °C when the second substrate comprises an organic chip carrier (e.g., a substrate including glass fiber impregnated with epoxy and having intervening copper layers) which can melt, and thus be destroyed, at temperature as low as about 245 °C. In contrast, a ceramic chip carrier disintegrates at a much higher temperature (approximately 1370 °C). After reflow, the electrical structure **60** is cooled, resulting in solidification of the second conductive bodies **52**. In the cooled electrical structure **60**, each first conductive body **14** and the corresponding second conductive body **52** are mechanically and electrically coupled by surface adhesion at uncoated surface **26**.

FIG. 8 shows a second conductive body **52** that is formed from each reflowed conductive bump **44**. The height ΔY_1 of a second conductive body **52** in FIG. 8 is approximately equal to the height ΔY of the corresponding conductive bump **44** in FIG. 7. The present invention seeks to maximize ΔY_1 in order to distribute thermal shear stresses and associated strains between the first substrate **12** and the second substrate **42**, induced during thermal cycling, over as great an effective height as possible. There is a propensity of the reflowed conductive bump material **44** to adhere to the material of the

first conductive bodies **14**, rather than to the top surface **43** of the second substrate **42**.

With existing art, ΔY_1 is significantly less than ΔY , because the reflowed conductive bump material **44** redistributes itself along the surface **15** of the first conductive body **14**.

With the present invention, ΔY_1 does not significantly differ from ΔY , except for a small

5 decrease due to sidewise motion of reflowed conductive bump material **44** in the

direction **70**, because of two related effects. First, the coat of material **18** prevents the

reflowed conductive bump material **44** from adhering to the first conductive bodies **14**,

except at the uncoated surfaces **26**. Second, the nonsolderability of the coat of material

18 prevents the reflowed conductive bump material **44** from adhering to the coat of

10 material **18**. The preceding two effects collectively prevent the conductive bump material

44 from flowing away from the location it occupied prior to the reflow. If there is a

coating of material **48** (see FIG. 6) on a conductive bump **44**, the coating of material **48**

serves as a constraint on sidewise motion of the reflowed conductive bump material **44**,

which would increase ΔY_1 relative to when the coating of material **48** is absent, as

15 discussed *supra* in conjunction with FIG. 6. The coat of material **18** in FIG. 8 further

serves to prevent the reflowed conductive bump material **44** from contacting the pads **16**,

which is important if the conductive bump material **44** contains tin. Tin may attack the

ball limiting metallurgy (BLM) of the pads **16** located at the perimeter of the first

conductive bodies **14** when the pads **16** contain such materials as copper, chrome, and

20 gold. A pad **16**, if attacked by tin, is likely to cause the pad **16** to detach from the first

substrate **12**. The coat of material **18** may have any thickness, such as a half-mil thickness, that enables the coat of material **18** to serve the preceding purposes. The benefit of using the coat of material **18** may be enhanced if the surface area of the coat of material **18** exceeds the surface area of the uncoated surface **26** by a substantial factor such at least about 10.

Note that each second conductive body **52** is mechanically and electrically coupled to the corresponding first conductive body **14** by surface adhesion at uncoated surface **26**. This feature, of no melting-induced fusion between a second conductive body **52** and the corresponding first conductive body **14**, results from the fact that a first conductive body **14** does not melt while the corresponding conductive bump **44** is being reflowed.

Also shown in FIG. 8 is an optional encapsulating material **54**, such as an epoxy anhydride with silica filler, which may be introduced into the space between the first substrate **12** and the second substrate **42**. The encapsulating material **54** fills the space into which it is injected by a capillary action mechanism, and bonds to various surfaces, including both the first conductive bodies **14** and the second conductive bodies **52**. The various surfaces to which the encapsulating material **54** bonds may be cleaned and surface roughened prior to the bonding, using standard techniques such as plasma treatment, to improve the bonding. As explained *supra* in the Related Art section, such encapsulating material mechanically couples the parts of the electrical structure **60** in

such a way that the electrical structure 60 moves as one composite structure during thermal cycling; i.e., the encapsulating material 54 accommodates the thermal shear stresses. The effectiveness of the encapsulating material 54 for alleviating thermal stresses increases with increasing encapsulating material stiffness. The necessity for using the encapsulating material 54, however, increases as the separation distance between first substrate 12 and second substrate 42 increases. Utilizing the height of the second conductive bodies 52 to increase the separation diminishes the magnitude encapsulating material 54 stiffness needed, which reduces mechanical stresses on the first conductive body 14 and the second conductive bodies 52 caused by the encapsulating material 54 itself. Additionally, reducing the stiffness of the encapsulating material increases the ability of the encapsulating material to absorb shock and vibration. Moreover, it may be possible to eliminate the encapsulating material 54 altogether if its height ΔY_1 is large enough to unilaterally keep thermal stresses at acceptable levels. Thus, the encapsulating material 54 either augments the role of ΔY_1 in mitigating thermal shear stresses or is not required. Omitting the encapsulating material 54 is desirable since the encapsulating material 54 inhibits the reworkability of the electrical structure 60 should a need arise to correct a problem during the life cycle and testing phases of the electrical structure 60, as explained *supra* in the Related Art section. Without the encapsulating material 54, the electrical structure 60 is easily reworkable. Reworkability may be accomplished in the present invention by heating the electrical structure 60 to a temperature that lies between

the melting point of each first conductive body **14** and the melting point of each second conductive body **52**, and then pulling the first structure **10** apart from the second structure **40**. Each first conductive body **14** will easily separate from the corresponding second conductive body **52** with no damage, because each first conductive body **14** and the corresponding second conductive body **52** are merely surface bonded at uncoated surface **26**. This is in contrast with the Somaki invention in which two solder bumps have been fused together, as explained *supra* in the Related Art section. In deciding whether to use encapsulating material, the user should weigh reworkability against enhanced thermal stress reduction.

By forming a substantial height ΔY_1 of the second conductive body **52**, the first embodiment of the present invention reduces unit thermal shear stresses, and associated strains, along the structural coupling path between the first substrate **12** and the second substrate **42**, particularly at the pads **16** and **46** in FIG. 8. The thermal stresses occur during thermal cycling and are due to the CTE mismatch between the first substrate **12** and the second substrate **42**. As stated previously, the first substrate **12** may comprise a chip or a module, while the second substrate **42** may comprise a chip carrier, module, or circuit card. A chip typically comprises silicon with a typical CTE of about 3 to 6 ppm/⁰C. An alumina chip carrier has a CTE of about 6 ppm/⁰C, while an organic chip carrier has a CTE in the range of about 6 to 24 ppm/⁰C. A circuit card typically has a CTE of about 14 to 22 ppm/⁰C. To overcome the effect of the CTE mismatch, ΔY_1

should be at least about 50% of the height of the first conductive body **14** (i.e., ΔY in FIG. 7). A typical minimum value of ΔY_1 is about 3 mils.

FIGS. 9-16 illustrate the process steps associated with a second embodiment of the present invention. FIG. 9 depicts a front cross-sectional view of a first structure **110**, which has a pad **116** on a top surface **113** of a first substrate **112**. A first conductive body **114** is on the pad **116**. The first substrate **112** may comprise a chip or a module. The first conductive body **114** may comprise a solder column, such as a C4 solder column. The first conductive body **114** comprises a suitable solder such as an alloy of lead and tin in such concentrations that the melting point of the alloy is above the melting point of the eutectic alloy. For example, the first conductive body **114** may have a lead/tin ratio of 90/10 by weight which has a melting point of about 327-330 °C. In contrast, the eutectic lead/tin ratio of about 63/37 by weight has a melting point of about 183 °C. The first conductive body **114** may have any suitable cylindrical shape and size. For example, the first conductive body **114** may be a circular cylinder with a height ranging from about 50 mils to about 87 mils, and a diameter ranging from about 20 mils to about 22 mils. This height is markedly greater than the height of the first conductive body **114** in the first embodiment of FIGS. 1-8. Although the effectiveness for alleviating thermal stresses increases as the height of the first conductive body **114** increases, any height in excess of the height of a standard C4 solder ball will improve thermal stress performance. While the preceding height/diameter ratios β (e.g., 87/22, 50/20, etc.) of the first conductive

body 114 are representative, both larger and smaller values of β may be utilized. β must not be so large as to compromise the ability of the first conductive body 114 to withstand mechanical stress, shock, and vibration. Accordingly, the upper limit to β depends on such factors as the material of the first conductive body 114, the stiffness of encapsulating material if encapsulating material is used, and the temperatures to which the first conductive body 114 will be exposed during its lifetime. At the other extreme, a value of β that is too low will limit the ability of the first conductive body 114 to move laterally (i.e., perpendicular to its height) and thus diminish the ability to alleviate thermal stresses. Accordingly a value of β of about 1 or less may be too small to be effective in some applications. The actual lower limit of β varies with the application and includes a dependence on the material of the first conductive body 114.

FIG. 9 shows the first conductive body 114, and the top surface 113, covered by a coat of material 118. The coat of material 118 comprises an unsolderable and nonconductive material such as a polyimide or a photosensitive resin. The coat of material 118 of the second embodiment has the same properties and functionality, and may be formed by the same methods, as the coat of material 18 of the first embodiment discussed *supra* for FIGS. 1-8. The top surface 113 and first conductive bodies 114 may be cleaned and surface roughened prior to forming the coat of material 118, using standard techniques such as plasma treatment, to improve the surface adhesion of the coat of material 118 to the top surface 113 and first conductive bodies 114.

FIG. 10 shows the result of removing a portion of the coat of material **118** to form an uncoated surface **126**. The removal of a portion of the coat of material **118** to form the uncoated surface **126** may be by any suitable method, such as laser ablation, grinding, and photolithography, as discussed *supra* in conjunction with FIGS. 2, 3, and 4, respectively, for the first embodiment. The uncoated surface **126** may be cleaned and surface roughened, using standard techniques such as plasma treatment, to improve the subsequent surface adhesion with conductive bump **144**, as will be discussed *infra* for FIGS. 15-16.

FIG. 11 depicts a front cross-sectional view of a second structure **140**, which has a pad **146** on a top surface **143** of a second substrate **142**. A conductive bump **144**, such as a C4 eutectic solder bump in the form of a pre-cleaned solder ball, is on the pad **146**. If the first substrate **112** of FIG. 9 comprises a chip, then the second substrate **142** may comprise a chip carrier or a circuit card. If the first substrate **112** of FIG. 9 is a module, then the second substrate **142** may comprise a circuit card. The melting point of the conductive bump **144** is below the melting point of the first conductive body **114** (see FIG. 9). For example, if the first conductive body **114** comprises a lead/tin alloy of 90/10 composition by weight (melting point 327-330 °C), the conductive bump **144** may comprise the eutectic 63/37 lead/tin alloy (melting point 183 °C), with a consequential melting point differential of approximately 150 °C. It is also within the scope of the present invention for the conductive bump **144** to comprise an alloy of a composition that

has a melting point above the eutectic melting point. In this regard, the respective melting points of the conductive bump **144** and the first conductive body **114** must be related such that the conductive bump **144** and the first conductive body **114** may be heated to a common temperature at which the conductive bump **144** melts and reflows while the first conductive body **114** remains solid. The conductive bump **144** may be any suitable shape and size, such as a truncated spherical shape with a diameter of 1 to 40 mils.

FIG. 12 shows the conductive bump **144**, and the top surface **143**, covered by a coating of material **148**, such as cured photosensitive resin. In FIG. 12, the uncoated surface **149** of the conductive bump **144** may be formed by any of the methods by which the uncoated surface of the first conductive body **114** (see FIG. 9) is formed, such as laser ablation, grinding, and photolithography as discussed previously. The top surface **143** may be cleaned and surface roughened prior to forming the coating of material **148**, using standard techniques such as plasma treatment, to improve the surface adhesion of the coat of material **148** to the top surface **143**. The coating of material **148** in FIG. 12 is optional and serves to constrain the conductive bump material **144** to move, upon being reflowed, in an approximately upward direction, rather than in a sidewise direction, as denoted by direction arrow **150**.

In FIG. 13, the first structure **110** is shown as placed upon the second structure **140**, such that the first conductive body **114** is placed on the conductive bump **144**. The

uncoated surface **126** of the first conductive body **114** may be formed in any suitable manner known in the art, such as by first coating all exposed surfaces of the first conductive body **114**, and then removing a portion of the coating by such methods as laser ablation, grinding, or photolithography, as discussed *supra* for FIGS. 2, 3, or 4, respectively, for the first embodiment. Alternatively, the first conductive body **114** may be initially coated only partially, so as to form the uncoated surface **126** without necessitating subsequent removal of a portion of the initial coating. While the conductive bump **144** is shown as uncoated, the conductive bump **144** may be optionally coated in accordance with the preceding discussion of FIG. 12. Accordingly, FIG. 14 depicts FIG. 13 with the addition of the coating of material **148** of FIG. 12.

FIG. 15 shows the electrical structure **160** associated with completion of the process of the second embodiment. The electrical structure **160** results from reflowing the conductive bump **144** of FIG. 13 (or FIG. 14 if coating of material **148** is present) at a temperature at which conductive bump **144** melts and the first conductive body **114** does not melt. In practice, the reflow temperature should be high enough to reflect impurities that may be present in the conductive bump **144** as well as the oven temperature variability, in accordance with the considerations mentioned in the previous discussion of FIG. 8 for the first embodiment. After reflow, the electrical structure **160** is cooled, resulting in solidification of the second conductive body **152**. In the cooled electrical structure **160**, the first conductive body **114** and the second conductive body **152** are

mechanically and electrically coupled by surface adhesion at the uncoated surface **126**.

FIG. 15 shows a second conductive body **152** that is formed from the reflowed conductive bump **144** of FIG. 12. The reflowed conductive bump material **144** has a propensity to adhere to the material of the first conductive body **114**, rather than to the top surface **143** of the second substrate **142**. Thus, the coat of material **118** serves several purposes. The coat of material **118** prevents the reflowed conductive bump material **144** from adhering to the first conductive body **114**, except at the uncoated surface **126**. The nonsolderability of the coat of material **118** serves to prevent the reflowed conductive bump material **144** from adhering to the coat of material **118**. The coat of material **118** further serves to prevent the reflowed conductive bump material **144** from contacting the pad **116**, which is important if the conductive bump material **144** contains tin, as discussed *supra* in conjunction with FIG. 8. The coat of material **118** may have any thickness, such as a half-mil thickness, that enables the coat of material **118** to serve the preceding purposes. The benefit of using the coat of material **118** may be enhanced if the surface area of the coat of material **118** exceeds the surface area of the uncoated surface **126** by a substantial factor such at least about 10.

Note that the second conductive body **152** is mechanically and electrically coupled to the first conductive body **114** by surface adhesion at the uncoated surface **126**. This feature, of no melting-induced fusion between the second conductive body **152** the first conductive body **114**, results from the fact the first conductive body **114** does not

melt while the conductive bump **144** is being reflowed.

The substantial height the first conductive body **114** substantially reduces unit thermal shear stresses, and associated strains, along the structural coupling path between the first substrate **112** and the second substrate **142**, particularly at the pads **116** and **146** in FIG. 15. The thermal stresses occur during thermal cycling are due to the CTE mismatch between the first substrate **112** and the second substrate **142**, in conjunction with the same ranges of CTE for the first substrate **112** and second substrate **142** as were discussed *supra* for the first substrate **12** and second substrate **42** in FIG. 8. Since the substantial height of the first conductive body **114** generates the desired thermal stress reductions, the electrical structure **160** does not depend on the height of the second conductive body **152** for reducing thermal shear stresses. Additionally, encapsulating material such as the encapsulating material **54** discussed *supra* in connection with FIG. 8, is not needed for thermal stress reduction, because of the effectiveness of the substantial height the first conductive body **114** in reducing the thermal stresses.

FIG. 16 illustrates FIG. 15 with the first conductive body **114** being replaced with a tapered first conductive body **115** having a lateral surface **120** in the form of a straight-edged taper, resulting in the electrical structure **162**. The tapering may facilitate an easier fabrication of the first conductive body **115**. The first conductive body **115** may be fabricated by injection molding, which injects liquified first conductive body material under pressure into a mold, such as a steel mold, followed by solidification upon cooling.

As the solidified first conductive body material is removed from the mold, frictional contact by the first conductive body **115** with the inner mold surface may damage the first conductive body material. A higher incidence of such damage may occur with the cylindrical-shaped first conductive body **114** of FIG. 15 than with the tapered first conductive body **115** of FIG. 15, since the first conductive body **114** maintains the frictional contact until the first conductive body **114** clears the mold. In contrast, a mere tapping of the tapered first conductive body **115** decouples the frictional contact between the tapered first conductive body **115** and the mold.

FIGS. 17-22 illustrate a process for forming a solder column structure, in accordance with a third embodiment of the present invention. This process forms a first conductive body having a tapered or hourglass shape, in analogy with the first conductive body **115** of FIG. 16. The first conductive body formed by this process may be used as the first conductive body **114** of FIGS. 9-10 and 13-15, or the first conductive body **115** of FIG. 16. FIGS. 17-19 and 20-22 respectively illustrate alternate methods of accomplishing the process of the third embodiment.

FIG. 17 illustrates the step of providing a solder body structure **200**, comprising the substrate **112** having the attached pad **116**, a solder body **172** in contact with the pad **116**, and a retractable body **174** in contact with the solder body **172**. The substrate **112** may include such devices as a chip or a module. The solder body **172** includes a solid solder mass made of the same material as that of the first conductive body **114** of FIGS.

9-10 and 13-15, or the first conductive body **115** of FIG. 16 (e.g. lead/tin solder in a 90/10 ratio by weight). The retractable object **174** in FIG. 17 comprises a pin **180** and an unsolderable sleeve **182**. The pin **180** includes a solderable surface **181** and a lateral surface **183**. The unsolderable sleeve **182** surrounds the pin **180** and is in contact with the pin **180** at the lateral surface **183**. The retractable object **174** is in contact with the solder body **172** at the solderable surface **181**. The pin **180** may include a solderable material, such as copper, nickel, or steel. The unsolderable sleeve **182** may include an unsolderable material, such as a polyimide, a photoimageable epoxy material, or chrome. The pin **180**, and the unsolderable sleeve **182**, each have a melting point higher than the melting point of the solder body **172**.

A heating step heats the solder body structure **200** to a final temperature above the melting point of the solder body **172** and below the melting point of the pin **180** and of the unsolderable sleeve **182**. The heating step may be accomplished, inter alia, by placing the solder body structure **200** in an oven and heating the oven. The heating melts the solder body **172**, causing the solder body **172** to be solderably connected to the pin **180** at the solderable surface **181**, and also to the pad **116**. The unsolderable sleeve **182** serves to prevent melted solder from adhering to the lateral surface **183** of the pin **180**. Thus, if the lateral surface **183** of the pin **180** is unsolderable, then the unsolderable sleeve **182** is unnecessary and may be eliminated. If, for example, the pin **180** is made of an unsolderable material with a sufficiently high melting point, such as aluminum or

chrome, and capped with a thin adherent layer of solderable material, such as copper, that includes the solderable surface **181**, then there is no need for the unsolderable sleeve **182**.

After the heating step, the step performed is moving the retractable object **174** away from the pad **116** in the direction **187** while the solder body **172** remains solderably connected to both the both pad **116** and the solderable surface **181**, until a solder column is formed from the solder body **172**. The resultant solder column **190** is shown in FIG. 18. The speed and acceleration of the retractable object **174** must be controlled so as to enable the solder body **172** to maintain the aforementioned solder connections. The curvature of the tapered edge **191**, in FIG. 18, of the solder column **190** is less susceptible to thermal and mechanical stresses than would be a straight-edged tapered surface. The curvature of the tapered edge **191** of the solder column **190** is caused by surface tension at the edge **191**, which pulls melted solder at the edge **191** in the radially inward direction **198**. The details of the curvature of the edge **191** includes a dependence on the surface tension characteristics of the particular material used for the solder column **190**, and on relative values of geometric factors such as the height of the solder column (H), the lateral extent of the solder column at the pad **116** (D_{pad}), and the lateral extent of the solder column at the solderable surface **181** of the pin **116** (D_{pin}). The tapering of the edge **191** in FIG. 18 is a consequence of $D_{\text{pin}}/D_{\text{pad}} \ll 1$. In contrast, the edge in FIG. 21, described *infra*, shows an hourglass-shaped edge **193** as a consequence of a relationship analogous to $D_{\text{pin}} \approx D_{\text{pad}}$. For fixed values of D_{pad} and D_{pin} , the radius of curvature of the

edge **191** increases as H increases. Accordingly, the edge **191** approaches a straight-line edge similar to surface **120** in FIG. 16 as H becomes sufficiently large. The maximum attainable value of H is limited by the amount of material in the solder body **172** of FIG. 17. The ratio $D_{\text{pin}}/D_{\text{pad}}$ is a positive number that has an upper limit of at least 1. Similarly
5 the ratio (R) of the area of the solderable surface **181** to the area of pad **116** is a positive number that has an upper limit of at least 1. The upper limit to $D_{\text{pin}}/D_{\text{pad}}$, as well as the preceding upper limits on R and H, is governed by the spacing between adjacent pads **116** on the substrate **112**, since the solder bodies **180** on adjacent pads **116** must remain insulatively separated; otherwise electronic structures on adjacent pads **116** may become
10 electrically shorted.

After the solder column **190** is formed, a cooling of the solder column **190** is accomplished by any suitable method. A method of cooling the solder column **190** includes transferring the solder body structure from the oven to a cooler environment such as a room-temperature environment. Another method of cooling the solder column
15 **190** includes removing the heat source, such as by “turning off” the oven, and allowing the solder column **190** to cool off without being substantially moved.

The final step is detaching the retractable object **174** from the solder column **190** after the solder column **190** has solidified. The detaching step may be accomplished by mechanically pulling the retractable object **174** from the solder column **190** when the
20 temperature is slightly below the melting temperature of the solder column **190** (e.g., no

more than about 15 °C below the melting temperature of the solder column **190**). The resulting solder column structure **220** is shown in FIG. 19.

FIGS. 20-22 illustrate the process of the third embodiment for the solder body structure **210**. FIGS. 20-22 are analogous to FIGS. 17-19, described *supra*, for the process with solder body structure **200**. A primary configurational difference is that the retractable object **174** comprising the pin **180** in FIG. 17 is replaced by the retractable object **176** comprising the plate **186** in FIG. 20. The plate **186** includes a solderable surface **185** which is analogous to the solderable surface **181** of pin **180** in FIG. 17, and an unsolderable surface **184**. The foregoing surface configuration of the plate **186** could be formed by standard methods, such as by covering a copper plate with photoimageable material, selective photoexposing (via mask-protecting the solderable surface **185** locations) the plate **186** surfaces to ultraviolet radiation, and developing away the unexposed photoimageable material to uncover the copper at the solderable surface **185** such that the photoexposed surface constitutes the unsolderable surface **184**. Another method of forming the plate **186** is by depositing a solderable metal on a plate made of unsolderable material having a high melting point. Many materials may qualify as the material of the plate of unsolderable material, such as aluminum or chrome. The solderable metal may include, for example, copper. The solderable metal may be deposited on the unsolderable plate at the solderable surface **185** location by any known process, such as by sputtering. The melting point of the plate **186** should exceed the

melting temperature of the solder body **172**.

A distinction relating to the plate **186** is that a single plate **186** with multiple solderable surfaces **185** can be used for a substrate with corresponding multiple pads **116**. In contrast, multiple pins **180** in FIG. 17 would be needed for a substrate with corresponding multiple pads **116**.

The step of heating the solder body structure **210** in FIG. 20 is analogous to the step of heating the solder body structure **200** in FIG. 17. As with the unsolderable sleeve **182** of FIG. 17, the unsolderable surface **184** does not solderably connect with the melted solder of the solder body **172**, which prevents the melted solder from leaving the solder body **172**. The melted solder of the solder body **172** causes the solder body **172** to solderably connect with the plate **186** at the solderable surface **185**.

After the heating step, a performed step is moving the retractable object **176** away from the pad **116** in the direction **188** while the solder body **172** remains solderably connected to both the pad **116** and the solderable surface **185**, until a solder column is formed from the solder body **172**. The resultant solder column **192** is shown in FIG. 21. The speed and acceleration of the retractable object **176** must be controlled so as to enable the solder body **172** to maintain the aforementioned solder connections. The curvature of the hourglass-shaped edge **193** of the solder column **192** is less susceptible to thermal and mechanical stresses than would be a straight-edged tapered surface. The curvature of the hourglass-shaped edge **193** of the solder column **192** is caused by surface

tension at the edge **193** which pulls melted solder at the edge **193** in the radially inward direction **199**. The details of the curvature of the edge **193** includes a dependence on the surface tension characteristics of the particular material used for the solder column **192**, and on relative values of geometric factors as explained *supra* in the corresponding discussion of geometric factors associated with FIG. 18. In particular, the edge **193** has an hourglass shape instead a tapered shape, because the geometric relationship $D_{\text{plate}} \approx D_{\text{pad}}$ is satisfied (see FIG. 21 for definitions of D_{plate} and D_{pad}). It should be noted that the edge **191** of FIG. 18 and the edge **193** of FIG. 21 may each have a tapered shape (curved or straight) or an hourglass shape, in accordance with the relationships between H , D_{pad} , and D_{pin} in FIG. 18, and the relationships between H , D_{pad} , and D_{plate} in FIG. 21, as discussed *supra*. The ratio $D_{\text{plate}}/D_{\text{pad}}$ is a positive number that has an upper limit of at least 1, in accordance with the analogous discussion for FIG. 18 of an upper limit to $D_{\text{pin}}/D_{\text{pad}}$. Similarly the ratio (R_1) of the area of the solderable surface **185** to the area of pad **116** is a positive number that has an upper limit of at least 1. Constraints on R_1 , and on solder column height H in FIG. 21, are the same as those discussed *infra* for R and H , respectively, for FIG. 18.

After the solder column **192** is formed, a cooling of the solder column **192** is accomplished by any suitable method, such as those discussed *supra* for the cooling step of FIG. 18.

The final step of detaching the retractable object **176** from the solder column **192**

is accomplished after the solder column **192** has solidified. This detaching step may be accomplished by chemically removing any portion of the metal plate **186** that includes the solderable surface **185**, which eliminates the bonding between the solder column **192** and the metal plate **186**. Any remaining amount of the metal plate **186** after the chemical removal may be mechanically withdrawn. The resulting solder column structure **230** is shown in FIG. 22.

FIGS. 23-28 illustrate process steps associated with a fourth embodiment of the present invention. FIG. 23 shows the first structure **10** of FIG. 1 such that the coat of material **18** of FIG. 1 is replaced by the volume of material **19** of FIG. 23. The volume of material **19** of FIG. 23 may include any material (e.g., a nonsolderable and nonconductive material such as a polyimide or a photosensitive resin) that could be included in the coat of material **18** of FIG. 1, or additionally epoxy adhesive or silicone adhesive. The first substrate **12** (e.g., chip, module), first conductive bodies **14** (e.g., solder bump such as C4 solder ball), and pads **16** in FIG. 23 are the same as in FIG. 1. All processes, materials, etc. described *supra* for FIG. 1 apply to FIG. 23 except for differences attributable to the geometrical difference between the volume of material **19** of FIG. 23 and the coat of material **18** of FIG. 1. The volume of material **19** is said to volumetrically surround the conductive bodies **44**.

FIG. 23 also shows a plate **11** (or any other object with a flat surface **9**) which includes a CTE-compatible material (e.g., glass), wherein the CTE-compatible material

has a CTE that is close to the CTE of the volume of material 19. The plate 11 is subject to a force 8 pushing on the volume of material 19 toward the substrate 12 so as to make a surface 21 of the volume of material 19 substantially planar (i.e, flat), in consideration of the CTE-compatible material within the plate 11. The volume of material 19 (e.g., polyimide) may be cured by placing the structure shown in FIG. 23 (i.e., the first structure 10 and the plate 11) in a temperature curing environment (e.g., a curing oven) which subjects the first structure 10 and the plate 11 to an elevated temperature. The curing of the volume of material 19 in the temperature curing environment proceeds in such a manner that the surface 21 remains substantially flat, because the CTE-compatible material does not undergo significant differential thermal displacement relative to the volume of material 19 at the elevated temperature of the curing environment. The surface 9 of the plate 11 that contacts the surface 21 of the volume of material 18 may include a release material, such as silicone, which enables the plate 11 to be removed following the curing. The release material may be formed on the surface 21 such by, *inter alia*, spraying. Silicone includes an oil, and some of the silicone oil remains on the surface 21 of the volume of material 19 after the plate 11 is removed following the curing. A cleaning process, such as an oxygen plasma cleaning process, may be used to remove the silicone oil that remains on the surface 21.

For a material such as a polyimide within the volume of material 19, FIG. 24 shows the result of applying radiation 32 from lasers 30 to remove a portion of the

volume of material **19** to form the same uncoated surface **20** on each first conductive body **14** as is shown likewise in FIG. 2. The laser ablation process may also remove a small amount of material (e.g., a quarter-mil height) from each first conductive body **14**. As a result of the laser ablation, the surface **21** of the volume of material **19** in FIG. 23 has been replaced by the surface **31** of the volume of material **19** in FIG. 24. As an alternative to applying laser ablation, FIG. 25 shows the result of grinding away a portion of the volume of material **19** and some material from each first conductive body **14** to form the same flat uncoated surface **22** on each first conductive body **14** as is shown likewise in FIG. 3. As a result of the grinding, the surface **21** of the volume of material **19** in FIG. 23 has been replaced by the surface **31** of the volume of material **19** in FIG. 25. If the volume of material **19** includes a photosensitive resin, FIG. 26 shows the uncoated surfaces **24** formed by lithography as a result of applying light of a suitable wavelength **36** from light sources **34**, in conjunction with a photomask, to the photosensitive volume of material **19**. The uncoated surfaces **24** in FIG. 26 are the same as uncoated surfaces **24** in FIG. 4. Following photoexposure, photosensitive material is developed away where it unwanted; i.e., at uncoated surfaces **24**. As a result of the photolithography, the surface **21** of the volume of material **19** in FIG. 23 has been replaced by the surface **31** of the volume of material **19** in FIG. 26. The uncoated surfaces **26** in FIG. 27 (to be discussed *infra*) represents the uncoated surface of the first conductive bodies **14**, regardless of the method used (e.g., laser ablation, grinding,

photolithography as depicted in FIGS. 24, 25, and 26, respectively) to form the uncoated surfaces **26**. The uncoated surface **26** may be cleaned and surface roughened, using standard techniques such as plasma treatment, to improve the subsequent surface adhesion with conductive bump **44**, as will be discussed *infra* for uncoated surface **26** in FIG. 27.

In FIG. 27, the first structure **10** (see FIG. 24, 25, or 26) is shown as placed upon the second structure **40** (see FIG. 5 or 6), such that each first conductive body **14** is placed on the corresponding conductive bump **44**, in accordance with the fourth embodiment of the present invention. FIG. 27 is substantially the same as FIG 7, described *supra*, except that the coat of material **18** in FIG. 7 has been replaced by the volume of material **19** in FIG. 27. In FIG. 27, the uncoated surface **26** of each first conductive body **14** may be formed in any suitable manner known in the art, such as by first covering all exposed surfaces of each first conductive body **14**, and then removing a portion of the coating by such methods as laser ablation, grinding, or photolithography, as discussed *supra* for FIGS. 24, 25, or 26, respectively. Alternatively, each first conductive body **14** may be initially covered only partially, so as to form the associated uncoated surface **26** without necessitating subsequent removal of a portion of the initial covering. While each conductive bump **44** is shown as uncoated, the conductive bumps **44** may be optionally coated in accordance with the preceding discussion of FIG. 6.

Note that the surface **31** of the volume of material **19** is shown in FIG. 27 as being

geometrically aligned with the first conductive body **14** in the same manner as shown in FIG. 25, wherein the surface **31** has been formed by grinding. If the surface **31** has been instead formed by laser ablation or by photolithography, then the surface **31** would be geometrically aligned with the first conductive body **14** in FIG. 27 in the manner shown in FIG. 24 or FIG. 26, respectively.

FIG. 28 shows the electrical structure **60** associated with completion of the process of the fourth embodiment. The electrical structure **60** in FIG. 28 is substantially the same as the electrical structure **60** in FIG 8, described *supra*, except that the coat of material **18** in FIG. 8 has been replaced by the volume of material **19** in FIG. 28. The electrical structure **60** results from reflowing the conductive bumps **44** of FIG. 27 at a temperature at which the conductive bumps **44** melt and the first conductive bodies **14** do not melt. In practice, the reflow temperature should be high enough to reflect impurities that may be present in the conductive bumps **44** as well as to reflect the oven temperature variability. For example, impurities in the conductive bumps **44** may typically raise the melting point of the conductive bumps **44** by 20 °C or more and the oven temperature variability may be 10 to 15 °C. Thus, if the conductive bumps **44** comprise a eutectic mixture of lead and tin, then the impurity-free melting point of about 183 °C implies a reflow temperature of at least about 215-220 °C to account for the aforementioned effects of impurities and oven temperature variability. A reflow temperature of about 220 °C is compatible with having the first conductive bodies **14** comprise a 90/10 lead/tin mixture

which melts at 327 to 330 °C, thereby assuring that the first conductive bodies **14** do not melt during reflow. Note that the reflow temperature must be sufficiently low that the volume of material **19** stays rigid during the reflow process. A polyimide, for example, typically stays rigid up to about 375 °C which is well above the reflow temperature of about 220 °C in the preceding example. It is also advantageous to reflow at a temperature as low as 220 °C when the second substrate comprises an organic chip carrier (e.g., a substrate including glass fiber impregnated with epoxy and having intervening copper layers) which can melt, and thus be destroyed, at temperature as low as about 245 °C. In contrast, a ceramic chip carrier disintegrates at a much higher temperature (approximately 1370 °C). After reflow, the electrical structure **60** is cooled, resulting in solidification of the second conductive bodies **52**. In the cooled electrical structure **60**, each first conductive body **14** and the corresponding second conductive body **52** are mechanically and electrically coupled by surface adhesion at uncoated surface **26**.

FIG. 28 shows a second conductive body **52** that is formed from each reflowed conductive bump **44**. The height ΔY_1 of a second conductive body **52** in FIG. 28 is approximately equal to the height ΔY of the corresponding conductive bump **44** in FIG. 27. The present invention seeks to maximize ΔY_1 in order to distribute thermal shear stresses and associated strains between the first substrate **12** and the second substrate **42**, induced during thermal cycling, over as great an effective height as possible. There is a propensity of the reflowed conductive bump material **44** to adhere to the material of the

first conductive bodies 14, rather than to the top surface 43 of the second substrate 42.

With existing art there is no volume of material 19 present, and ΔY_1 is significantly less than ΔY because the reflowed conductive bump material 44 redistributes itself along the surface 15 of the first conductive body 14 in the existing art. With the present invention,

5 ΔY_1 does not significantly differ from ΔY , except for a small decrease due to sidewise motion of reflowed conductive bump material 44 in the direction 70, because of two related effects. First, the volume of material 19 prevents the reflowed conductive bump material 44 from adhering to the first conductive bodies 14, except at the uncoated surfaces 26. Second, the nonsolderability of the volume of material 19 prevents the
10 reflowed conductive bump material 44 from adhering to the volume of material 19. The preceding two effects collectively prevent the conductive bump material 44 from flowing away from the location it occupied prior to the reflow. If there is a coating of material 48 (see FIG. 6) on a conductive bump 44, then the coating of material 48 serves as a
15 constraint on sidewise motion of the reflowed conductive bump material 44, which would increase ΔY_1 relative to when the coating of material 48 is absent, as discussed *supra* in conjunction with FIG. 6. The volume of material 19 in FIG. 28 further serves to prevent the reflowed conductive bump material 44 from contacting the pads 16, which is
20 important if the conductive bump material 44 contains tin. Tin may attack the ball limiting metallurgy (BLM) of the pads 16 located at the perimeter of the first conductive bodies 14 when the pads 16 contain such materials as copper, chrome, and gold. A pad

16, if attacked by tin, is likely to cause the pad 16 to detach from the first substrate 12.

The benefit of using the volume of material 19 may be enhanced if the surface area of the covered surface 28 of the first conductive body 14 exceeds the surface area of the uncoated surface 26 by a substantial factor such at least about 10, wherein the covered surface 28 is covered by the volume of material 19.

Note that each second conductive body 52 is mechanically and electrically coupled to the corresponding first conductive body 14 by surface adhesion at uncoated surface 26. This feature, of no melting-induced fusion between a second conductive body 52 and the corresponding first conductive body 14, results from the fact that a first conductive body 14 does not melt while the corresponding conductive bump 44 is being reflowed.

Also shown in FIG. 28 is an optional encapsulating material 54, such as an epoxy anhydride with silica filler, which may be introduced into the space between the first volume of material 19 and the second substrate 42. The encapsulating material 54 fills the space into which it is injected by a capillary action mechanism, and bonds to various surfaces, including the second conductive bodies 52. The various surfaces to which the encapsulating material 54 bonds may be cleaned and surface roughened prior to the bonding, using standard techniques such as plasma treatment, to improve the bonding. As explained *supra* in the Related Art section, such encapsulating material mechanically couples the parts of the electrical structure 60 in such a way that the electrical structure 60

moves as one composite structure during thermal cycling; i.e., the encapsulating material 54 accommodates the thermal shear stresses. The effectiveness of the encapsulating material 54 for alleviating thermal stresses increases with increasing encapsulating material stiffness. The necessity for using the encapsulating material 54, however, increases as the separation distance between first substrate 12 and second substrate 42 increases. Utilizing the height of the second conductive bodies 52 to increase the separation diminishes the magnitude encapsulating material 54 stiffness needed, which reduces mechanical stresses on the second conductive bodies 52 caused by the encapsulating material 54 itself. Additionally, reducing the stiffness of the encapsulating material increases the ability of the encapsulating material to absorb shock and vibration. Moreover, it may be possible to eliminate the encapsulating material 54 altogether if its height ΔY_1 is large enough to unilaterally keep thermal stresses at acceptable levels. Thus, the encapsulating material 54 either augments the role of ΔY_1 in mitigating thermal shear stresses or is not required. Omitting the encapsulating material 54 is desirable since the encapsulating material 54 inhibits the reworkability of the electrical structure 60 should a need arise to correct a problem during the life cycle and testing phases of the electrical structure 60, as explained *supra* in the Related Art section. Without the encapsulating material 54, the electrical structure 60 is easily reworkable. Reworkability may be accomplished in the present invention by heating the electrical structure 60 to a temperature that lies between the melting point of each first conductive body 14 and the

melting point of each second conductive body **52**, and then pulling the first structure **10** apart from the second structure **40**. Each first conductive body **14** will easily separate from the corresponding second conductive body **52** with no damage, because each first conductive body **14** and the corresponding second conductive body **52** are merely surface bonded at uncoated surface **26**. This is in contrast with the Somaki invention in which two solder bumps have been fused together, as explained *supra* in the Related Art section. In deciding whether to use encapsulating material, the user should weigh reworkability against enhanced thermal stress reduction.

By forming a substantial height ΔY_1 of the second conductive body **52**, the fourth embodiment of the present invention reduces unit thermal shear stresses, and associated strains, along the structural coupling path between the first substrate **12** and the second substrate **42**, particularly at the pads **16** and **46** in FIG. 28. The thermal stresses occur during thermal cycling and are due to the CTE mismatch between the first substrate **12** and the second substrate **42**. As stated previously, the first substrate **12** may comprise a chip or a module, while the second substrate **42** may comprise a chip carrier, module, or circuit card. A chip typically comprises silicon with a typical CTE of about 3 to 6 ppm/ $^{\circ}$ C. An alumina chip carrier has a CTE of about 6 ppm/ $^{\circ}$ C, while an organic chip carrier has a CTE in the range of about 6 to 24 ppm/ $^{\circ}$ C. A circuit card typically has a CTE of about 14 to 22 ppm/ $^{\circ}$ C. To overcome the effect of the CTE mismatch, ΔY_1 should be at least about 50% of the height of the first conductive body **14** (i.e., ΔY in

FIG. 27). A typical minimum value of ΔY_1 is about 3 mils.

FIG. 28 also shows a solder mask **38**, which serves to prevent the two conductive bumps **44** in FIG. 27 from being electrically shorted to each other. In the absence of the solder mask **38**, such electrical shorting may be caused by stray solder forming an electrically conductive bridge between the two pads **46**. The solder mask **38** prevents formation of such an electrically conductive bridge, by attracting the stray solder toward itself and thus away from the two pads **46**. The solder mask **38** may be formed in any manner known to one of ordinary skill in the art. Although not shown in FIG. 8, the solder mask **38** in FIG. 28 may be similarly formed in FIG. 8.

While preferred and particular embodiments of the present invention have been described herein for purposes of illustration, many modifications and changes will become apparent to those skilled in the art. Accordingly, the appended claims are intended to encompass all such modifications and changes as fall within the true spirit and scope of this invention.